

Approved User Registration Application

1 June 2024

This form may be used by an individual (**you, your**) to apply to HUB24 Custodial Services Ltd (ABN 94 073 633 664, AFSL 239 122) (**HUB24, we, us, our**) for registration as a Registered User of a Licensee or Adviser that is authorised to access the HUB24 Platform and permitted to provide Services in connection with one or more of HUB24 Invest and HUB24 Super or, if applicable, any branded or co-branded version of those products (**Relevant Products**) and to distribute the SMSF Service on their behalf.

Note: "Adviser" includes advisers who are employees and authorised representatives of the Licensee.

Each person that wishes to register as a Registered User must complete a separate copy of this Approved User Registration Application. Acceptance of your application is subject to approval of the relevant Licensee or Adviser (and, for the avoidance of doubt, HUB24).

This form is not for use by Advisers (including corporate authorised representatives) who wish to apply for access to the HUB24 Platform and to register as an Adviser that is permitted to provide Services in connection with one or more Relevant Products and to distribute the SMSF Service. They should use the Adviser Registration Application (available on AdviserHUB, at www.hub24.com.au/licensee or by calling HUB24 on 1300 854 994).

Capitalised terms used in this form which are not defined in this form have the meaning given to them in the HUB24 Platform Terms and Conditions (**Licensee Terms**) (copy available from your Licensee, on AdviserHUB, at www.hub24.com.au/licensee or by calling HUB24 on 1300 854 994).

Section 1 Licensee details

Licensee name

AFS licence number

Section 2 Adviser details

Adviser name

Section 3 Practice details

Practice name

Note: For Licensees with multiple practices. Only one practice should be listed.

Section 4 Approved User details

Title (*tick one* ✓)

Mr Mrs Ms Dr Other

Given name(s)

Surname

Mobile number

Phone number

Email

Position

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Section 5 Declaration and signature

The nominated Approved User must sign in the signature box below. By signing below, the nominated Approved User:

- declares that they have been provided with a copy of the Licensee Terms and Product Fee Schedule that applies to the Licensee and will not do or omit to do anything that will cause the Licensee to breach these terms;
- represents to HUB24 that they will at all times act within the scope of their authority as a Registered User;
- acknowledges that their application is subject to the acceptance of their application by HUB24 which may be given or withheld by HUB24 in its sole discretion;
- represents to HUB24 that all details in this form are true and correct; and
- agrees that HUB24 may suspend or terminate their access to the HUB24 Platform at any time.

HUB24 and the nominated Approved User agree that the nominated Approved User may sign electronically a soft copy of this form and bind itself accordingly. This will satisfy any statutory or other requirements for this document to be in writing and signed by the nominated Approved User. HUB24 and the nominated Approved User intend that:

- any soft copy so signed will constitute an executed original counterpart, and any print-out of the copy with the relevant signature(s) appearing will also constitute an executed original counterpart; and
- where a party to the agreement provided for under this document prints out this document after all parties that are signing electronically have done so, the first print-out by that party after all signatories who are signing electronically have done so will also be an executed original counterpart of this document.

Signature

Date

Notes:

By signing above, the signatory:

- consents to electronic execution of this document (in whole or in part);
- represents that they are the person named with respect to their execution; and
- confirms that their signature appearing in this document, including any such print-out (irrespective of which party printed it), is their personal signature authenticating it, which has been authorised by them, and is to be treated as their original signature.

Section 6 Permissions

Practice Access	Description of Permissions	Yes	No
Create PRACTICE model portfolios	Ability to create Practice Model Portfolio that is usable by all advisers under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
View MY Accounts	View only access to accounts linked under their Adviser Code	<input type="checkbox"/>	<input type="checkbox"/>
View PRACTICE Accounts	View only access to accounts linked under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
Edit MY Accounts	Ability to edit client contact information, create an investment plan, drawdown & cash top up and edit adviser preferences on accounts linked under their Adviser Code – No Trading Access	<input type="checkbox"/>	<input type="checkbox"/>
Edit PRACTICE Accounts	Ability to edit client contact information, create an investment plan, drawdown & cash top up and edit adviser preferences on accounts linked under the Practice linked accounts – No Trading Access	<input type="checkbox"/>	<input type="checkbox"/>
Trade on MY Accounts	Ability to place Aggregated Trades & Direct Market Orders on accounts linked under their Adviser Code	<input type="checkbox"/>	<input type="checkbox"/>

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Practice Access	Description of Permissions	Yes	No
Trade on PRACTICE Accounts	Ability to place Aggregated Trades & Direct Market Orders on account linked under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
Authorise Trades on MY Accounts	Ability to authorise trades placed via Aggregated Trading & Direct Market Trading for accounts linked under their Adviser Code	<input type="checkbox"/>	<input type="checkbox"/>
Authorise Trades on PRACTICE Accounts	Ability to authorise trades placed via Aggregated Trading & Direct Market Trading for accounts linked under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
Create WITHDRAWALS ON MY Accounts	Ability to create cash withdrawals on accounts linked under their Adviser Code	<input type="checkbox"/>	<input type="checkbox"/>
Create WITHDRAWALS ON PRACTICE Accounts	Ability to create cash withdrawals on accounts linked under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
Create DEPOSITS ON MY Accounts	Ability to create cash deposits on accounts linked under their Adviser Code	<input type="checkbox"/>	<input type="checkbox"/>
Create DEPOSITS ON PRACTICE Accounts	Ability to create cash deposits on accounts linked under the Practice	<input type="checkbox"/>	<input type="checkbox"/>
Customise Asset Allocation	Ability to manage customised asset allocations as Practice Administrator	<input type="checkbox"/>	<input type="checkbox"/>

Nominate Adviser	Description of Permissions	
Generate Applications on behalf of Adviser(s)	Ability to create an online application and populate a nominated Advisers information	Please write name(s) of adviser(s) below

Dealer Group Access	Description of Permissions	Yes	No
Manage advisers	Ability to set up Dealer Model Portfolios & Exclusions Lists, Create new advisers or additional staff log ins	<input type="checkbox"/>	<input type="checkbox"/>
View Fee Summaries	Ability to view the Monthly fee summary	<input type="checkbox"/>	<input type="checkbox"/>
Edit Approved List	Ability to create & edit an Approved Product List for the Dealer Group	<input type="checkbox"/>	<input type="checkbox"/>

Please note: If no selection is made, the default position is not to grant permission.

Please send this form to us
admin@hub24.com.au